FFI RAPPORT
EXPERIENCES WITH COMMANDER'S QUEST
BAKKEN Bjørn Tallak, GILLJAM Martin
FFI/RAPPORT-2003/00615

Approved Kjeller 15. December 2003

Jan Erik Torp Director of Research

# EXPERIENCES WITH COMMANDER'S QUEST

BAKKEN Bjørn Tallak, GILLJAM Martin

FFI/RAPPORT-2003/00615

**FORSVARETS FORSKNINGSINSTITUTT Norwegian Defence Research Establishment**P O Box 25, NO-2027 Kjeller, Norway

# FORSVARETS FORSKNINGSINSTITUTT (FFI) Norwegian Defence Research Establishment

UNCLASSIFIED	

P O BOX 25 N0-2027 KJELLER, NORWAY SECURITY CLASSIFICATION OF THIS PAGE (when data entered)

REF	PORT DOCUMENTATIO	N PAGE				=
1)	PUBL/REPORT NUMBER		2)	SECURITY CLASSIFICAT	TION	3) NUMBER OF
	FFI/RAPPORT-2003/0	0615		UNCLASSIFIED		PAGES
1a)	PROJECT REFERENCE		2a)	DECLASSIFICATION/DO	WNGRADING SCHEDULE	27
	FFISYS/846/044			-		
4)	EXPERIENCES WIT	H COMMANI	DER'S	S QUEST		
5)	NAMES OF AUTHOR(S) IN F BAKKEN Bjørn Talla			n		
6)	Approved for public re		ution	unlimited. (Offentlig t	ilgjengelig)	
7)	INDEXING TERMS IN ENGLISH:			IN NO	PRWEGIAN:	
	a) Decision training			a) <u>l</u>	Beslutningstrening	
	b) System dynamics			b) <u>\$</u>	Systemdynamikk	
	c) War game			c) <u>l</u>	Krigsspill	
	d) Territorial attack			d) [	Γerritorielle angrep	
	e)			e)		
THES	THESAURUS REFERENCE:					
8)						
Min and desc	nimalist Decision Trainin the Norwegian Defence	g (MDT) fram Leadership In	ewor stitute	k, developed by the Ne (FIL). The major por	gular simulation and gaming orwegian Defence Research tion of the report is devoted cludes with recommendation	Establishment (FFI) to the model
9)	DATE	AUTHORIZED B	Y		POSITION	
	15 December 2002	This page only	Ior F	uils Tour	Dinasta - CI	Dagaamah
	15. December 2003		jan E	rik Torp	Director of I	kesearcn

# **CONTENTS**

		Page
1	INTRODUCTION	7
1.1	Overview	7
1.2	Background and purpose	7
1.3	Development tools	8
2	MINIMALIST DECISION TRAINING (MDT)	8
3	MILITARY OPERATIONS IN SYSTEM DYNAMICS TERMS	9
4	COMMANDER'S QUEST	10
4.1	Basic features	11
4.2	Implementation and Usage	11
4.3 4.3.1	Scenario in Brief The Nations	12 12
4.3.2 4.4	The Missions Rules and Assumptions	13 13
4.5	Decision-making Process	15
4.6	The Representation and Learning of Principles	16
4.7 4.7.1 4.7.2 4.7.3 4.7.4 4.7.5 4.7.6 4.7.7 4.7.8 4.7.9 4.7.10	The Principles and Their Implementations Uniform Objectives (1) Rapid and Focused Planning Process (2) Balancing of Forces (3) Target Prioritisation (4) Concentration of Forces (5) Unexpected Manoeuvres (6) Tempo of Engagement (7) Depth of Engagement (8) Dispersion of Forces (9)	17 17 17 17 17 18 18 18 18 19
5	DATA COLLECTION	19
5.1	Playing Process	20
6	ANALYSIS AND RESULTS	20
6.1	Other Results	22
7	IMPROVEMENTS TO THE MODEL AND PLAYING PROCESS	23
7.1	Networked GUI	23
7.2	C2 system	24
7.3	Intelligence	25

8	CONCLUSIONS AND FURTHER WORK	25
	Literature	26

## **EXPERIENCES WITH COMMANDER'S QUEST**

#### 1 INTRODUCTION

This report presents the model "Commander's Quest", one of five singular simulation and gaming models within the Minimalist Decision Training (MDT) framework, developed by the Norwegian Defence Research Establishment (FFI) and the Norwegian Defence Leadership Institute (FIL). The major portion of the report is devoted to the model description and a review of feedback from pilot users. The report concludes with recommendations for further work and model enhancements. A more elaborate review of theoretical foundations for the MDT concept is given in Bakken and Gilljam (2003a, 2003b).

#### 1.1 Overview

How to improve the training of higher-level military officers, given that the conditions for learning in "conventional" exercises (with a high degree of realism and complexity) are sub-optimal? From other applications (e.g., business and public management) we know that a key feature of effective decision training is high exercise frequency. Another requirement is for the decision-maker to see the full range of consequences resulting from his/her decisions. Both aspects require time compression in the training environment.

We suggest applying the same principles when training military commanders, in a newly created concept termed Minimalist Decision Training (MDT). MDT is characterized by simplifying the commander's operating environment, radically compressing time and space. In MDT, a typical two-day exercise can cover several repetitions of a thirty-day conflict and at the same time provide continuous feedback about the unfolding of the conflict, consequential to decisions made.

To this date, we have tested prototypes of system dynamic models ("microworlds") to be used as MDT environment at the Norwegian Defence Staff College as well as operational headquarters. The pilot users (instructors as well as student officers) have reported a high degree of satisfaction with the models as exercise environments. In particular, the operational relevance of the "Commander's Quest" model has been assessed. In a post-exercise survey participants indicated that eight out of ten suggested manoeuvre principles were believed to have substantial impact on operational outcome. We take these findings as evidence to support the view that the MDT concept is viable, and deserving further attention within research and development.

## 1.2 Background and purpose

The background for the current project "Implementing decision training" (*FFI-project 846*), and the development of individual models, is the desire to achieve more efficient and effective training for military commanders (current and future). The training context is decision making at the operational to strategic level. Each of the models within the (MDT) framework is intended to

give the player an understanding of consequences that may result from taking different courses of action, and what are the critical factors to consider in a dynamic crisis or warfare situation. Furthermore, the models should inspire to and stimulate discussions around the problems and situations posed by the model.

The purpose of this report is to give a brief conceptual description of the model "Commander's Quest", as well as a review of feedback from pilot users. This information may be of help for future users – players as well as instructors – to ensure that the best possible learning outcome is attained.

# 1.3 Development tools

The system dynamics software ithink Analyst for Windows (from High Performance Systems, Inc.) was used to develop the simulation model itself. The networked user interface was programmed in Java, using the JBuilder developer environment from Borland Software Corporation. The user interface makes use of the relational database MySQL, which is open source freeware from MySQL AB (Sweden).

## 2 MINIMALIST DECISION TRAINING (MDT)

A minimalist decision trainer (MDT) is a very simple and pedagogically designed simulation-supported system for use in the training of higher-level commanders (both existing and to-be). The training focus is to build and rehearse the commander's ability to quickly form a mental image of a combat/conflict situation, and to intuitively comprehend what are the likely combined outcomes of the inherent dynamics governing the situation, and the decisions made to act upon the situation. This ability is required when it comes to making rapid decisions of high quality—essential for achieving success in (over-)complex and "dramatic" situations. A commander who has this ability can be said to possess combat dynamic intuition (CDI).

Bakken (1993) introduced the concept of Combat Dynamic Intuition (CDI), which was later used by Friman and Brehmer (1999) under the label "intuitive battle dynamics". CDI encompasses the commander's ability to "think strategically in dynamic situations based on non-linear knowledge". The object of CDI research is to improve the development of such abilities in higher-level commanders and executives.

MDT is aimed at putting a commander or the command group in charge of own logistics and operations resources in a scenario. The scenario may contain any implied or explicit mission. The resources reflect a combined joint operation; typically the lower limit of resources will be less than a hundred units representing land, sea and air resources, with upper limit being less than a thousand. The representation need not be restricted to the military organization—political, psychological, economical, and legal (and so on) means of exerting influence may also be included.

MDT belongs to a class of training solutions referred to as "Management Flight Simulators" (MFS)—a term invented at MIT's Sloan School of Management (Bakken et al, 1992). Instead of individuals flying a simulated aircraft, a management team "flies" the corporation, creating products that "fly in the marketplace" through making appropriate strategic, operational and tactical decisions. MDT represents the best of tabletop war games and MFS for its players: the operational level commander—or more typical—his associated command group.

Isaacs and Senge (1992) argue that microworlds used in a training context will alleviate many, if not most, of the so-called "barriers to learning" in dynamic environments. There is an apparent risk, however, that such tools—simplified as they are, and often to the extreme—could be misused. An example of such misuse could be to support short-sighted/narrow-minded views and policies, arising (more or less consciously) because of inaccurately formulated models or of misinterpreted feedback from the model.

#### 3 MILITARY OPERATIONS IN SYSTEM DYNAMICS TERMS

A military operation or campaign presents the commander with a dynamic decision problem. During the campaign, the commander continuously receives status information, and on the basis of this information he will manage his resources (by issuing directives to his staff and subordinate commanders). As the directives are acted upon, subordinates will report back on outcome and new status (updated situation assessment). This cyclic procedure will repeat for the duration of the campaign.

As a practical example to illustrate, consider this general description of crisis management, taken from the NATO/PfP "Generic Crisis Management Handbook" (1997 interim version): "Procedures and activities in crisis management range across; information acquisition and assessment; the analysis of the situation; the establishment of goals to be achieved; the development of options for actions and their comparison; the implementation of chosen options, to (finally, as feedback to close the loop) the analysis of the reaction of the parties involved."

According to Brehmer and Allard (1991), a dynamic decision problem has the following characteristics:

- It requires a series of decisions.
- The decisions are interdependent.
- The state of the problem changes, both autonomously, and as a consequence of the decision maker's actions.
- Decisions must be made in real time.

We propose that an MDT suitable for improving CDI should have a simulation model built around basic system dynamics concepts. These are (see for example, Senge, 1990; Sterman, 2000):

- Flow and accumulation of resources—contributing to time lags.
- Feedback loops—self-reinforcing and self-correcting.
- Non-linear cause-effect relationships.

To illustrate how an operational setting may be represented in system dynamic terms, consider this example of forces deploying to an area of operations—where the lines of communication constitute a limited capacity. In this very general setting, there is an imminent danger that forces under transportation may start to "pile up" if (or when) capacity utilization approaches its maximum (just consider the always present "fog of war"). This might happen when the commander in chief is eager to deploy, and orders his troops to force their way toward the area of operations. The misperception is here the belief that the more troops who start advancing at an early stage, the greater the possibility of arriving early at the "scene".

The consequences of this flawed strategy might be quite the opposite—the more troops on the move at the same time, the greater the possibility of congestion along the way. The outcome of this unsuccessful strategy may even be reinforced. When the commander receives reports from rangers at the area of operations, telling that his forces are delayed (and not understanding that this is caused by his "foolish" desire to pre-empt the enemy), he might order that even more troops be transferred—thus only making things worse.

The lessons to be learned from the above is that one should consider more than the anticipated "up-front" effects of any strategy, and that any unanticipated effects are (usually) due to a poor understanding of resource accumulation, time lags and self-reinforcing feedback. The goal of any MDT is therefore to enhance the learning of the above and similar "lessons", thus creating and improving CDI in the minds of military commanders.

The design philosophy underlying an MDT model based on system dynamics principles is that as much as possible of the technical detail describing force structure should be omitted. Instead, the focus will be on representing the assets ("units" of military/political force), the actions (military/political "operations" involving the assets) and the effects (results of applying force in various operations) in a very general manner. With this approach, the continuous representation that is associated with system dynamics models becomes highly appropriate. When technical detail is kept to a bare minimum, this leaves more room to focus on the higher-level problems that are typically facing the operational commander. In particular, this applies to the side effects that are felt most severely at this level.

#### 4 COMMANDER'S QUEST

Commander's Quest is a gaming model for running high-intensity military operations ("current ops") at the CJTF (Combined Joint Task Force) level. The challenge facing the player (in the role of commander-in-chief, CINC) is to employ military resources (information, materiel, and personnel) to counter a similarly equipped opposing force. When used properly and in the

context of a training program, the model will illustrate the benefits of applying principles from the manoeuvre doctrine in order to achieve operational success.

#### 4.1 Basic features

Two command groups – or two single commanders – who act as opposing forces play the model "Commander's Quest". The operation is high-intensity and is simulated at an operational to tactical level. The scenario depicts one nation's territorial attack on the other. As such, it conveys the view of a "classical" warfare situation. While having the advantage of being well known (at least in theory) to most players, this kind of situation appears less relevant today than it did 10-20 years ago. However, the main emphasis is of course on learning certain basic concepts (see below), which to a great extent are context-free.

Each player, or group of players, will make three types of decisions every simulated day: How many *Ground Force* units to employ at each combat area, and how many *Cruise Missiles* and *Special Force* units to support ongoing combat or to disturb transportation routes between combat areas. One game will take in the region of 1-2 hours and requires interaction with a graphical user interface in addition to the model itself.

In the following, this model is presented in more detail.

# 4.2 Implementation and Usage

The model is implemented in the ithink system dynamics software (from High Performance Software, Inc). The player(s) interact with the model through a graphical user interface programmed in Java. Although the model may be regarded as extremely simplified when it comes to representing military operations, it comprises in the range of 2000 variables and constants when implemented in ithink. In ithink, array-functionality is used to separate different classes of resources and to avoid redundancy of graphical structures and computational expressions.

The model can be played as single or multi user. There are two sides in the conflict, and a user can play either side. In case of only one player, the computer will "play" the opposing force. Although the commander role has been given focus, the graphical user interface allows information to be masked so that different users may observe only information of relevance to their function in the team.

The actual users can be individual persons or small groups. A single run of the model (day 0 to 30) may be accomplished in as little as two hours (sometimes even less than one hour if the situation should become "static" before regular termination at day 30). When used as part of a training program, briefs and de-briefs with guided discussions are compulsory elements.

## 4.3 Scenario in Brief

#### 4.3.1 The Nations

Two neighbouring nations, "Blueland" and "Redland", are in conflict. The nations share no common border (on ground), and are separated by the "Purple Bay" in the south. To the north of the Bay the nations are separated by a "disputed area", to which territorial rights have never been settled. The nations have located their military bases (ground, naval and air forces) on either side of the Bay, respectively.

In times of peace it is possible to travel between the nations either by a network of highways and local roads, or by sea across the Bay. It is only possible to enter the other nation on ground by passing through the "disputed area", along the top of the Bay. Scheduled ferries cross the Bay several times a day, providing transportation to passengers, cars and trucks.

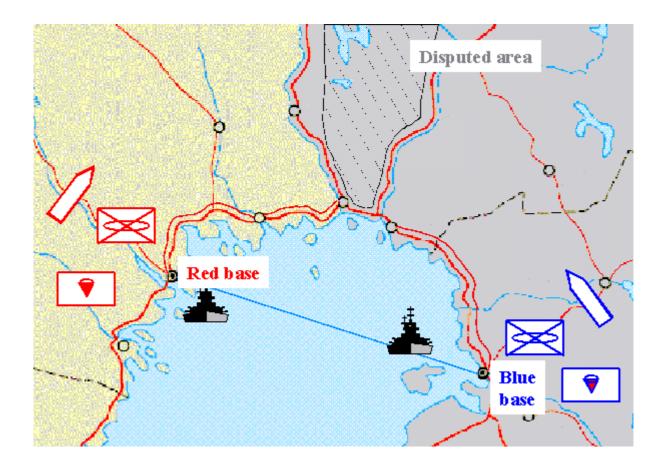


Figure 4.1 Map of region

The full version of the scenario description (Gilljam, 2003) covers the events leading up to the culmination of a severe political-military crisis. As the game starts, the nations are on the verge of a full-scale conventional war. To simplify, there are only three territorial areas identified to be of strategic interest to the nations: the area covering and surrounding the military bases within

the borders of each nation, as well as the "disputed area" in the north. These are also the only areas where regular ground battles are permitted to occur (hereafter termed "combat areas").

#### 4.3.2 The Missions

The operational commander (Commander In Chief, CINC) on both sides have been authorized to take the necessary and appropriate actions for maintaining the integrity of national borders, as well as denying enemy use of the "disputed area". The authorization is not restricted to defensive actions, i.e., it does not exclude the possibility of attacking and taking control of either enemy base or the "disputed area". The mission will have to be completed within 30 days. At that time a new allied command will take over—and player performance will be evaluated.

Each combat area has its own strategic "value". The player's performance is quoted as the sum of values of combat areas under own control. If an area is only partially controlled, its value is distributed between the sides in proportion to degree of control (relative strength of ground forces present in the area).

# 4.4 Rules and Assumptions<sup>1</sup>

The diagram below shows approximate transportation times and capacities between areas under "normal" circumstances. An indication of vulnerability to air raids, and strategic value are also given.

<sup>&</sup>lt;sup>1</sup> Complete rules and assumptions are documented in Gilljam (2003)

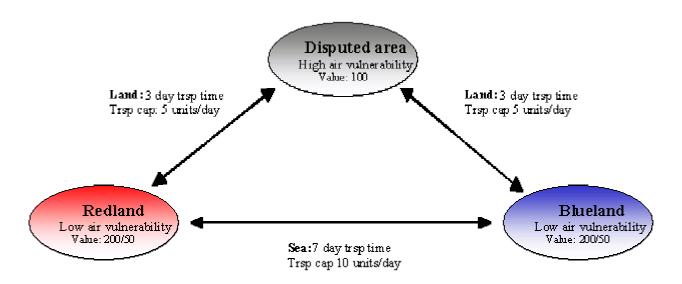


Figure 4.2 Combat areas and transportation axes

The quoted transportation times are given as averages, and corresponds to loads (number of ground units on transfer per day) as indicated. If this load is exceeded, both transportation time and "friction loss" will increase. Air raids and other enemy action will contribute to increase delays and losses. Note that ground forces may only move along the indicated transportation routes (arrows in the diagram), both on ground and at sea. For land transportation, it is assumed that more than one single road exists between areas, that is, the arrows may be interpreted as a network of roads of varying quality and capacity.

All units are self-supplied (food/water, fuel, spare parts, ammunition) for the duration of the operation. Units that are lost will not be regenerated. No reinforcement units may be expected for the 30-day period.

The combat effectiveness of ground forces depends of course on their relative quantity versus the enemy (more own units gives greater combat strength—and at the same time less enemy units gives fewer own losses). Location of forces bears impact on effectiveness, as units are more effective when fighting on own territory than on enemy territory. On "disputed area" there is no advantage to either side. As mentioned, regular ground combat (battles) takes place only in one of the three designated areas.

Air raids are accomplished by way of missile assaults. Long-range (cruise) missiles can inflict losses on ground units in combat areas, as well as cause delay and losses to forces under transportation. Missiles may be launched from air, sea or ground platforms—in fact, to simplify, location and type of launcher is not specified. Consequently, launchers (along with unused missiles) cannot be lost in combat.

Special forces act to disturb transportation of enemy ground forces; perform reconnaissance for own ground forces in combat; and missile guidance. Special operations are always concealed, thus special forces cannot be lost in combat.

To summarize, we have the following military assets, and their possible actions and effects:

Ground Forces (typically: mechanized infantry with amphibious capacity):

- may transfer between combat areas, on ground and at sea;
- actual transfer time and friction loss depends on load and enemy activity on axis;
- automatically engage in battle when encountering enemy forces in combat areas; and
- combat effectiveness in an area depends on relative number of units in that area.

Long-range Missiles (typically: cruise missiles fired from ground, sea or air):

- attacks enemy forces in combat areas and in transit (ground and sea);
- effective immediately—marginal effectiveness decreases with quantity fired; and
- neither launchers nor missile stocks may be lost in combat.

Special Forces (typically: helicopter-lifted rangers or "marines"):

- supports ground and missile operations, disturbs transportation;
- deployment incurs a four-day preparation phase before forces are effective—possible redeployment requires six days regeneration;
- marginal effectiveness varies with quantity employed ("S-curved" shape); and
- special forces may not be lost in combat.

#### 4.5 Decision-making Process

The standard set-up for a game is two-player—one plays the "Redland" commander, the other plays "Blueland". Recall that a single player can be an individual, or a small group of persons (team). When playing as a group, each group member may take on the view of a commander, or the members may be assigned to individual roles (for example, planning, current ops, logistics, intelligence), depending on the purpose of the game. A training session is usually initiated with a brief of the game—and concluded with a de-brief and wrap-up. To stimulate reflection and thus support learning among players, a number of discussions may be conducted both during and after the game. We suggest that a game administrator, competent in pedagogic as well as military (operational) issues, mediates and "guides" those discussions. A complete training session may involve repeated plays of the model, and may last for 1-2 days.

Starting at day 0 (game time), each player gives his decisions concerning ground force movement, missile attacks and special operations for the following three-day period. After number of units (of each asset type) and corresponding target (area/axis) are entered into the model (through the user interface), the model is advanced three days, and its output is fed back to the user. After some time of evaluating the outcome for that period, the players once again set out to make decisions for the next three-day period. This cycle continues for the duration of the

game—30 days. The time limits imposed on players for decision-making and evaluation may be more or less strict. If players are previously unfamiliar with computer-supported games, it may probably be wise to apply a rather "loose" time schedule.

It is possible—and usually also desirable—to gradually increase the level of difficulty during a training session with "Commander's Quest". The model may be played with only ground forces at the outset. As players gain experience, long-range missiles and subsequently special forces may be introduced.

# 4.6 The Representation and Learning of Principles

The objective of engaging in a training session with a gaming model such as "Commander's Quest" is of course for the player to gain insight into the dynamics of operational warfare, and in particular learn how to apply appropriate manoeuvre principles to reach a desired end state. The goal is to achieve an understanding of how a combat situation "unfolds" in the short and long term, not only by itself, but also as a consequence of own and enemy actions. The key to achieve such a competence is to develop an intuition for the causes-and-effects that reign in the operational theatre. Being sensitive to feedback "from the field", taking into account the nature of time delays that govern the system, is probably the most important requirement in the decision-making process.

We have not yet put any effort into an investigation of possible learning effects from playing Commander's Quest (that is scheduled for "further research"). However, as part of the prototype evaluation process, we have collected data on players' beliefs on the model's representation of prominent manoeuvre principles.

The next section is a brief listing of manoeuvre principles we believe to be present in the "Commander's Quest" model, and how they are implemented. We do not intend to give full explanations of the principles and their properties, nor do we attempt to discuss limitations and shortfalls in the manoeuvre philosophy. Furthermore, we have deliberately tried to avoid using expressions and terms that would only be understood by experts on military operations. In-depth presentations and analyses can be found in, for example, FFOD (2000), USMC (1998) and Claesson et al (2001).

In essence, the manoeuvre philosophy constitutes a set of norms for thinking and acting when conducting military operations, and is concerned with how to "... generate the greatest decisive effect against the enemy at the least possible cost to ourselves" (USMC, 1998). The principles are general, in that they may be applied to operations of any kind (and at any level of command), although they may be more appropriate for "modern" high-intensity warfare involving a range of heterogeneous, highly mechanised and mobile weapon platforms, and when there is considerable uncertainty in beliefs about enemy situation; his capabilities, plans and actions. As such, the philosophy emphasizes rapid, flexible and opportunistic thinking and acting in the "theatre of war". More specifically, and in the words of Lind (1985 p. 6-8): "maneuver [is about being] consistently faster than the enemy, [...] creating confusion, [and]

avoid being predictable". It is about avoiding enemy strengths, creating dilemmas for the enemy, and at the same time avoid being subject to dilemmas.

A logical consequence of the manoeuvre philosophy (and assuming rational actors) is that opposing forces in a conflict may continuously make assumptions of each other's capabilities, plans and actions. Based on those assumptions each side in the conflict may at any time (unilaterally) decide not to escalate the conflict, but rather retreat, since the conflict could not be "won" anyway (at least not without large own losses). In many ways, this kind of evasion behaviour could be regarded as a "win-win" outcome, which would not be attainable in historic "attrition" warfare.

## 4.7 The Principles and Their Implementations

## 4.7.1 Uniform Objectives (1)

The operational commander should strive to make his intentions and goals known to, and understood by, all his staff and sub-commanders. Furthermore, a common "situational assessment" (beliefs about own and enemy "status" in the broadest sense) should be established and maintained/updated throughout the operation. An unambiguous command chain, and clarity in individual roles and functions are prerequisites to achieve this. This principle is not represented directly in the model—its impact is a direct consequence of how the teams of players have organised themselves, and of individual capabilities.

## 4.7.2 Rapid and Focused Planning Process (2)

Obviously, a rapid and well-organised process for making decisions should be a necessary condition for good performance in the game, especially if the game administrator imposes a strict time schedule. This principle is not represented directly in the model—its impact is a direct consequence of how the teams of players choose to organise themselves, and of individual capabilities.

#### 4.7.3 Balancing of Forces (3)

A balanced force means that an appropriate "mix" of resources is employed in a combat situation. The model supports this by allowing elements of ground forces, missiles and special forces to be employed in synergy (as so-called "force multipliers"), rather than in isolation. A single asset would yield a higher effect when used in combination with other asset types, than it would by itself. Mathematically, this is achieved by using multiplicative, rather than additive, computation of combined effects. Flexibility in force composition is of course a prerequisite, which the model provides.

#### 4.7.4 Target Prioritisation (4)

Since all possible targets cannot be struck at once (or even during the entire operation), it makes sense to make priorities among them. The general idea is to place "main effort" on striking the enemy at the point where he is most vulnerable (and where our calculated losses are minimal).

18

This is directly represented in the model by the range of decisions players are allowed to make. For example, since a ground force unit cannot be used more than one place at a time, and there are usually significant time lags involved when moving the unit between combat areas, the player has to make his choice knowing that the consequences of that choice will last for some time. The most prominent dilemma is one of considering the various opportunity costs associated with making one or the other action.

# 4.7.5 Concentration of Forces (5)

This principle is related to the previous one, in that when a (major) target is to be struck, it is considered wise to direct as much firepower as possible to that target. This is to maximise the likelihood that the enemy will yield that target in a minimum of time, while minimizing own losses. This is consistent with computational rules in the model, where the marginal effect of ground forces increases with (relative) volume. Mathematically, this is achieved by applying modifications of "Lanchester's Square Law<sup>2</sup>" in the combat equations.

## 4.7.6 Unexpected Manoeuvres (6)

A central idea in manoeuvring is to conceal own actions, in order to be less predictable and thus less vulnerable to enemy actions. The model supports concealment in that the user interface presents only selected subsets of available information to the players. Basically, the player may only be allowed to assess the status of own units. This information base could then be expanded, depending on assumptions of an implicit command-and-control system.

## 4.7.7 Tempo of Engagement (7)

Tempo is of course related to the concentration principle, in that higher tempo makes it more likely for force concentration to be effective and on time (time is always short in military operations). The model supports tempo indirectly, in that transportation axes and asset types may be selected on basis of the engagement speed and mobility they convey. Generally, higher speed means that longer ("deeper" or just more cumbersome) axes can be used for transportation without loss of effect.

#### 4.7.8 Depth of Engagement (8)

A capability to perform "deep" engagements is considered vital if the enemy is to be struck not only in the "front" (where he is most likely to have concentrated his firepower), but also on the "deep" where his vulnerability may be lower and at the same time there may be high-value targets located (for example, headquarters, communication centres). Achieving sufficient tempo, and being able to strike unexpectedly, is of course essential for a "deep" engagement to become successful (see above).

<sup>2</sup> A presentation of the Lanchester equations is given in P. Pugh (1992): "Lanchester Revisited: A Unified and Improved Version of the Lanchester Equations", Defence Operational Analysis Establishment Memorandum M92104 (Unclassified), Ministry of Defence, UK.

19

#### 4.7.9 Dispersion of Forces (9)

Force dispersion is in many ways the opposite of force concentration. As such, it may be regarded more as a defensive measure, applied when the risk of having own forces in a concentrated posture is seen as too high. As with concentration, tempo/mobility is a key capability, especially if forces are to be shifted between being assembled and spread out in short periods of time.

## 4.7.10 Deception Manoeuvres (10)

Deceiving the enemy is not merely a matter of keeping him uninformed of your actions and plans (a more "passive" attitude to deception), but also involves performing "false" (or demonstration) manoeuvres in order to trick the enemy into believing that your operational focus is a different one from what it really is. A deception manoeuvre is usually limited in time and effort—since the forces eventually will have a greater value when used against a "real" target.

#### 5 DATA COLLECTION

During two days in January, 2002, a total of 61 student officers at the Norwegian Defence Staff College (FSTS) participated in a training program with the model "Commander's Quest<sup>3</sup>" as the primary "object of study". A week prior to the playing of the model, a brief of the game scenario and rules were given to all officers in a plenary session (45 minutes). A week after, a de-brief was given (45 min). The de-brief included a mediated discussion of "lessons learned", as well as an opportunity for the best performing team to present their plan and experiences.

As communicated to the students, the purpose of the game was to "... make participants aware of the special conditions that a two-sided game may induce, with focus on illustrating the differences between a static and a dynamic decision "world". This includes among others: to experience the dynamics that arises between the actors; the importance of knowing the battlefield and understand the situation; and experience the kind of problems that an imperfect situational comprehension may lead to."

The participating officers ranked (almost exclusively) from Major to LtCol, and had therefore considerable professional experience from the Norwegian Armed Forces. All three services—Army, Navy and Air Force were represented in almost equal proportions (with Army being slightly "heavy"). Being Norwegian officers (with a couple of exceptions) at this level, it is unlikely that any of them had experience from "sharp" operations of the like the one in Commander's Quest, however.

<sup>3</sup> At this occasion, the students played a prototype version of the model. In the prototype version, certain features were not included. For example, only a simplified graphical user interface (GUI) was used. In our opinion, this has not affected the students' experience of the model to any significant degree.

Immediately following the de-brief, the officers were instructed to individually complete a questionnaire (which all 61 of them also did (!)). There were 34 questions, with answers to be marked on a six-point "Strongly disagree—strongly agree" scale. The survey was anonymous, even though team number, rank and service would have to be indicated. The questions encompassed all kinds of aspects somehow related to the "appropriateness" of, or satisfaction with, using "Commander's Quest" as an exercise and training instrument. The answers we consider here, are those related to how well the model represents the manoeuvre principles (1-10) listed above.

# 5.1 Playing Process

The students were distributed to eight teams, thus there were 7-8 officers per team. No instructions or restrictions were given on to how to organize teams. Observations of teams under play indicate however that few teams sought to divide tasks between them—usually, all members on a team would take the perspective of operational commander. School instructors and managers also sporadically observed the teams while playing—which is common in any exercise at this level.

A team would play the model for a whole day. First one game before lunch, then a second (optionally more) after lunch. The first game was played with only ground forces and missiles available—consecutive games were played with all three asset types. The model was reinitialised between games, so that results on one game would not have impact on following games. There was no strict time limit on playing. However, the teams eventually managed to make decisions in very short time, using less than five minutes to plan and decide for the three-day decision period.

#### 6 ANALYSIS AND RESULTS

The data collected cover, among others, officers' individual ratings (N=61) of how well they believed the model "Commander's Quest" represented certain principles from the manoeuvre philosophy. The actual question was worded as an assertion:

"The following factors had a strong impact on the outcome (of the operation):"

[followed by list of factors, corresponding to principles 1-10 above, but unexplained]

P#	Principle	Scale
1	Uniform objectives	1 = Strongly disagree
2	Rapid and focused planning process	6 = Strongly agree
3	Balancing of forces	
4	Target prioritisation	
5	Concentration of forces	
6	Unexpected manoeuvres	
7	Tempo of engagement	
8	Depth of engagement	
9	Dispersion of forces	
10	Deception manoeuvres	

Table 6.1 Factors (principles) in questionnaire

Answers were marked on the provided 6-point "Strongly disagree—strongly agree" scale, one scale for each principle. For each principle, we take a rating of more than 3.5 (the "critical level") to indicate that the principle in question is believed by the player to have a strong impact on outcome of operations.

It should be emphasized that no direct mention of "manoeuvre principles" was made in the questionnaire. In general, one should expect officers at this level to have at least a basic understanding of manoeuvre warfare in theory, and therefore such mention would probably be superfluous.

The analysis shows (see Table 6.2) that principles 1-8 on average rated in the range 3.9-5.1, with standard deviations ranging from 0.9 to 1.2. The principles 9 and 10 rated only 3.0 and 2.9 respectively (standard deviation 1.1). The relatively low standard deviations suggest that the officers are in strong agreement, and shows in essence that "Commander's Quest" to a large degree fulfils the ambition of representing prominent manoeuvre principles.

P#	Principle	Rating (std. dev.)
1	Uniform objectives	5.1 (1.0)
2	Rapid and focused planning process	4.9 (1.0)
3	Balancing of forces	4.7 (1.0)
4	Target prioritisation	5.1 (0.9)
5	Concentration of forces	4.9 (1.0)
6	Unexpected manoeuvres	3.9 (1.1)
7	Tempo of engagement	4.5 (1.2)
8	Depth of engagement	4.2 (1.0)
9	Dispersion of forces	3.0 (1.1)*
10	Deception manoeuvres	2.9 (1.1)*

*Table 6.2 Principles and their ratings (\* = below critical level)* 

That principles 9 and 10 were rated below the critical point is also consistent with our a priori beliefs about the model. That the dispersion principle (#9) acts in adversary to the concentration principle (#5), and the latter being the more important one, may contribute to this. Considerable transportation time lags (relative to the total duration of the operation) may have rendered principle #10 (deception) to be regarded as unimportant (compare with principle #6).

#### 6.1 Other Results

The participants were also asked to rate their degree of satisfaction with the model. Table 6.3 below shows ratings for various assertions related to satisfaction (the same scale as above is used).

Q#	Question	Rating (std. dev.)
1	An officer playing this game may	4.0 (1.2)
	become a better military decision maker	
2	An officer playing this game may	3.7 (1.1)
	become better at planning military	
	operations	
3	By playing several times one can learn	5.5 (0.7)
	more about the relationships in the game	
4	Experience gained from game#1 is	4.8 (1.2)
	crucial for outcome in game #2	
5	The game was informative	4.4 (1.0)
6	I would recommend this game to my	4.8 (1.2)
	colleagues	
7	The Staff College should use this kind of	4.9 (1.2)
	game for training	

Table 6.3 Participant satisfaction

As shown in Table 6.3, participants were in general very happy with the model, and in particular they seemed to be convinced of the game's usefulness as a pedagogic instrument. This result is even more interesting knowing that the ratings for realism were below the critical point (3.2, standard deviation 1.1).

#### 7 IMPROVEMENTS TO THE MODEL AND PLAYING PROCESS

#### 7.1 Networked GUI

The model itself has recently been augmented with an optional graphical user interface (GUI), with network support for any number of players, and one administrator. The GUI allows playing across Internet or intranet.

The current GUI prototype, called DDTrainer, is programmed in Java, and communicates with the ithink model through the Dynamic Data Exchange (DDE) protocol. The component package Coroutine for Java (by Neva Technology, Inc) is used as "glue" between ithink and Java. DDTrainer uses mySQL database for relational data storage. The model itself resides, and is run, only on the administrator workstation – client or player workstations receive updated situational image at each decision point.

#### GUI functionality in brief:

- Access administration is maintained by a login sequence, and access rights are connected to specific user.
- While individual players do their own input, the administrator is free to monitor, control and override any decision made by players.
- View of military symbols on map background.
- Decisions are input in data grid format, which facilitates editing and review.
- Views and data are accessed in a hierarchical manner (tree structure).
- Report generation for printed output and/or distribution as web-page.
- Batch-wise running of previously played games (or partially completed games).
- Multi-user interaction in Local and/or Wide Area Network, to accommodate two or more simultaneous users.
- Video-conference capability can be added through networked web-cameras.

The DDTrainer software is not tied to any specific model. It is only a matter of minutes to set a simulation up with a given ithink model and link the model variables to DDTrainer through the DDE mechanism. The Java architecture allows a very flexible solution. In fact, individual views as well as symbols on a view may be dynamically added, changed and removed by the administrator even during simulation.

Below is a sample screen shot of DDTrainer running Commander's Quest<sup>4</sup>.

<sup>&</sup>lt;sup>4</sup> DDTrainer was tested at the Army War College in February 2003. The game was set up with Blue and Red player, and administrator. Less than one minute was used per decision.

24

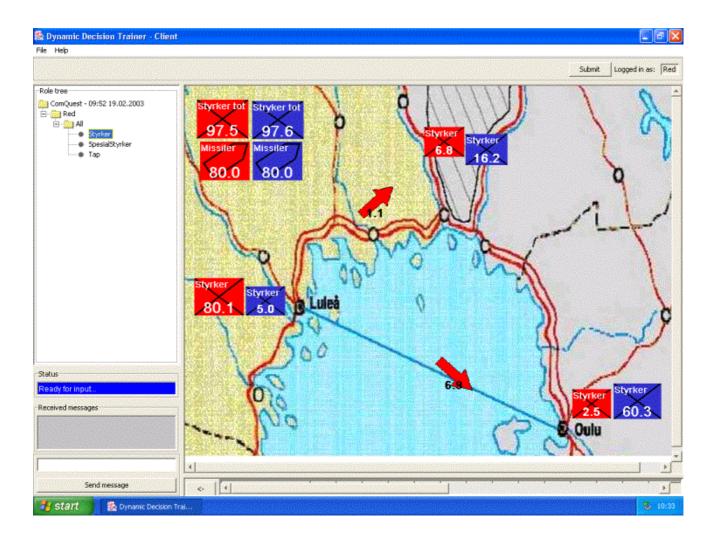


Figure 7.1 DDTrainer with Commander's Quest<sup>5</sup>

The sample screen shot (figure 8.1) shows Red player's view of the situation at run-time. In the tree menu to the left, various aspects and views of the situation may be selected. The map image shows the current location and quantity of forces, as well as movements (indicated by arrows). Messages between players and administrator may be sent through the input field and "send" button in the lower left corner.

# 7.2 C2 system

Introduction of a C2 system means a high-value target to be attacked by missiles and/or special forces. For a given cumulative attacking force, a probability of destruction is given. When C2 is destroyed, force effectiveness drops dramatically from day X after attack (missile and specops capability may be totally extinct). This functionality would be in accordance with

<sup>&</sup>lt;sup>5</sup> The geographic region shown in the map is used solely for purpose of illustration, and no relationship between the game and any real situation should be inferred.

suggestions made by Belknap (1997). The C2 system functionality is not yet scheduled for implementation.

# 7.3 Intelligence

Dedicated intelligence units could be introduced as a separate military asset (intelligence could also be a role of the special forces). These units may be distributed around in the geography, according to decisions made by players. The presence of one or more intelligence unit in a region would be required to observe enemy forces in that region. The quality of observations in a location would vary positively with number of intelligence units in that location. This functionality is not yet scheduled for implementation.

#### 8 CONCLUSIONS AND FURTHER WORK

So far, the simulation model "Commander's Quest" has been tested with great success at the Norwegian Defence Staff College and the Army War College (both located in Oslo), and is due for further testing at other military educational organisations as well as operational commands. The pilot users (staff, instructors and students) report a high degree of satisfaction with the models as exercise environment. In a post-exercise survey participants indicated that eight out of ten suggested manoeuvre principles were believed to have substantial impact on operational outcome.

What remains is to complete the design of a training program that integrates the model with other models in the MDT portfolio; in a fashion that provides a stepwise increase in complexity for the exercise participant (consistent with the part-task training principle). Furthermore, we need to make assessments of learning effectiveness (that is, to what degree does performance improve from trial to trial). We believe that by using a tool such as ithink for model implementation, it will be relatively straightforward to "tune" the models to an appropriate level of complexity (which is also a question of selecting participant background), so that learning can be assured.

In parallel with model testing, completion and integration, there are ongoing activities at the Norwegian Defence Leadership Institute (NODLI) with the purpose of (experimentally) evaluating learning strategies in dynamic environments. Both Brehmer (2002) and Sterman (2000) provide extensive lists of viable strategies—most of which have been previously experimentally confirmed as fruitful and efficient—but where further testing in various contexts could provide further insights.

Depending on the degree of success from further testing in academic environments, the MDT concept and simulation models may be adopted by operational NATO headquarters in Norway and abroad.

#### Literature

- (1) Bakken B m fl (1992): Experimentation in Learning Organizations: A Management Flight Simulator Approach, *European Journal of Operational Research* **59**, 167-182.
- (2) Bakken B (1993): Learning and Transfer of Understanding in Dynamic Decision Environments (PhD thesis), Massachusetts Institute of Technology, Boston.
- (3) Bakken B, Gilljam M (2001): Combat Dynamic Intuition (CDI): What Is It, and How Can It Be Improved? In: *Proceedings of the International Workshop on Cognitive Research with Microworlds Methodological and Theoretical Issues for Industrial and Military Applications* (Eds Cañas J), Granada, Spain, 22-35.
- (4) Bakken B, Gilljam M (2003a): 'Training to improve decision making: System dynamics applied to higher level military operations, *Journal of Battlefield Technology* **6**, 33-42.
- (5) Bakken B, Gilljam M (2003b): Combat Dynamic Intuition (CDI): What Is It, and How Should It Be Improved?, *Cognition, Technology and Work* **5**, 3, 197-205.
- (6) Belknap M (1997): 'The Force-on-Force Model. An anachronism in the information Age, *JFQ* **Spring 97**, 116-119.
- (7) Brehmer B, Allard R (1991): Dynamic Decision Making: Effects of Complexity and Feedback Delays In: *Distributed Decision Making: Cognitive Models of Cooperative Work* (Eds Rasmussen J et al), Wiley, Chichester.
- (8) Claesson M et al (eds) (2001): Manövertänkande. Essäer kring teori och praktisk tillämpning, Swedish National Defence College, Stockholm.
- (9) Forsvarets overkommando / Forsvarets stabsskole (2000): Forsvarets fellesoperative doctrine (FFOD), Forsvarets overkommando / Forsvarets stabsskole, Oslo.
- (10) Friman H, Brehmer B (1999): Using Microworlds to Study Intuitive Battle Dynamics: A Concept for the Future. Presented at the Command & Control Research Symposium, U S Naval War College, Rhode Island.
- (11) Gilljam J M (2003): Dokumentasjon av Commander's Quest. En systemdynamisk modell til støtte for minimalistisk beslutningstrening. FFI/RAPPORT-2003/01226
- (12) HPS inc. (2000): An Introduction to Systems Thinking (ithink 6.0), www.hps-inc.com., HPS inc.
- (13) Isaacs W, Senge P (1992): Overcoming limits to learning in computer-based learning environments, *European Journal of Operational Research* **59**, 183-196.
- (14) Lind W (1985): Maneuver Warfare Handbook, Westview Press, Colorado.
- (15) Senge P (1990): The Fifth Discipline (Norwegian edition), Hjemmets bokforlag, Oslo.
- (16) Sterman J (2000): Business Dynamics: Systems Thinking and Modelling for a Complex

World, McGraw-Hill, Boston.

(17) U S Marine Corps (1998): Marine Corps Planning Process, U S Marine Corps.